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12-28-01

PTO/SB/05 (4/98)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No.

S01.12-0851/STL9652

First Inventor or Application Identifier

Alexander M. Shukh

Title

PERPENDICULAR READ/WRITE HEAD FOR USE IN A
DISC DRIVE STORAGE SYSTEM

Express Mail Label No.

EL 844352158 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To:

Box Patent Application
U.S. Patent and Trademark Office
P.O. Box 2327, Arlington, VA 22202

1. ☒ *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Sheets **22**]
(preferred arrangement set forth below)
- Descriptive title of the Invention)
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets **5**]
4. Oath or Declaration [Total Sheets **3**]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (Identical to computer copy)
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document
10. ☐ Information Disclosure Statement (IDS/PTO - PTO) ☐ Copies of IDS
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ *Small Entity ☐ Statement filed in prior application.
Statement(s) Status still proper and desired
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Request and Cert. Under 35 USC 122 (Non-Pub)
16. ☐ Other: _____

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation -in part (CIP) of prior application No: _____

Prior application information:

Examiner _____

Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	Brian D. Kaul				
	WESTMAN CHAMPLIN & KELLY				
Address	Suite 1600 - International Centre				
	900 South Second Avenue				
City	Minneapolis	State	MN	Zip Code	55402-3319
Country	USA	Telephone	(612) 334-3222	Fax	(612) 334-3312

Name (Print/type)	Brian D. Kaul	Registration No (Attorney/Agent)	41,885
Signature		Date	12/20/01

FEE TRANSMITTAL

Complete if Known

Application No.	
Filing Date	
First Named Inventor	Alexander M. Shukh
Title	PERPENDICULAR READ/WRITE HEAD FOR USE IN A DISC DRIVE STORAGE SYSTEM
Group Art Unit	
Examiner Name	
Atty. Docket Number	S01.12-0851/STL9652

Total Amount of Payment \$ 740 & \$40

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.
Westman, Champlin & Kelly, P.A.

2. ☒ Checks Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
101	201	740	370	<input checked="" type="checkbox"/> Utility Filing Fee
106	206	330	165	<input type="checkbox"/> Design Filing Fee
108	208	740	370	<input type="checkbox"/> Reissue Filing Fee
114	214	160	80	<input type="checkbox"/> Prov. Filing Fee
Subtotal (1) \$ 740				

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	19	20	0	18	0
Indep.	3	3	0	84	0

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Description
103	203	18	9	Claims in excess of 20
102	202	84	42	Independent claims in excess of 3
104	204	280	140	Multiple Dependent Claims
109	209	84	42	Reissue Independent Claims over Original Patent
110	210	18	9	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 0

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	205	130	65	Surcharge - Late filing fee or oath	
127	227	50	25	Surcharge - Late provisional Filing Fee or cover sheet	
139	139	130	130	Non-English specification	
147	147	2,520	2,520	For Filing a Request for Reexamination. (ex parte)	
115	215	110	55	Extension for reply within first month	
116	216	400	200	Extension for reply within second month	
117	217	920	460	Extension for reply within third month	
118	218	1,440	720	Extension for reply within fourth month	
128	280	1,960	980	Extension for reply within fifth month	
120	220	320	160	Filing a brief in support of an appeal	
121	221	280	140	Request for oral hearing	
148	248	110	55	Terminal Disclaimer Fee	
140	240	110	55	Petition to Revive - unavoidable	
141	241	1,310	670	Petition to Revive - unintentional	
142	242	1,310	670	Utility/Reissue issue fee (inc. advance copies)	
143	243	490	260	Design issue fee (inc. advance copies)	
122	122	130	130	Petitions to the Commissioner	
123	123	50	50	Petitions related to provisional applications	
126	126	180	180	Submission of Information Disclosure Statement	
581	581	40	40	Recording each patent assignment per property (times number of properties)	\$40

Other Fee (specify) _____

Subtotal (3) \$

Signature Brian D. Kauf
(Brian D. Kauf)

Reg. No. 41,885

Date 12/20/01

Deposit Account No. 23-1123